

FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



सत्यमेव जयते

Annual Return

Form language English Hindi

Refer the instruction kit for filing the form.

I. REGISTRATION AND OTHER DETAILS

(i) * Corporate Identification Number (CIN) of the company

L99999MH1996PLC132983

Pre-fill

Global Location Number (GLN) of the company

* Permanent Account Number (PAN) of the company

AAACI7397D

(ii) (a) Name of the company

IIFL SECURITIES LIMITED

(b) Registered office address

IIFL House, Sun Infotech Park, Road No.
Plot No.B-23, Thane Industrial Area, Wagle Estate
Thane
Maharashtra
400604



(c) *e-mail ID of the company

secretarial@iifl.com

(d) *Telephone number with STD code

02261086300

(e) Website

www.iiflsecurities.com

(iii) Date of Incorporation

21/03/1996

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Public Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital

Yes

No

(vi) *Whether shares listed on recognized Stock Exchange(s)

Yes

No

(a) Details of stock exchanges where shares are listed

S. No.	Stock Exchange Name	Code
1	NATIONAL STOCK EXCHANGE OF INDIA LIMITED	1,024
2	BSE LIMITED	1

(b) CIN of the Registrar and Transfer Agent

U67190MH1999PTC118368

Pre-fill

Name of the Registrar and Transfer Agent

LINK INTIME INDIA PRIVATE LIMITED

Registered office address of the Registrar and Transfer Agents

C-101, 1st Floor, 247 Park,
Lal Bahadur Shastri Marg, Vikhroli (West)

(vii) *Financial year From date (DD/MM/YYYY) To date (DD/MM/YYYY)

(viii) *Whether Annual general meeting (AGM) held Yes No

(a) If yes, date of AGM

(b) Due date of AGM

(c) Whether any extension for AGM granted

Yes No

(f) Specify the reasons for not holding the same

AGM will be held on June 30, 2021

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

*Number of business activities

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	K	Financial and insurance Service	K8	Other financial activities	14
2	K	Financial and insurance Service	K7	Financial Advisory, brokerage and Consultancy Services	67

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

*No. of Companies for which information is to be given

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1	IIFL FACILITIES SERVICES LIMIT +	U70102MH2007PLC176401	Subsidiary	100
2	IIFL MANAGEMENT SERVICES L +	U93000MH2000PLC129816	Subsidiary	100
3	IIFL INSURANCE BROKERS LIM +	U66010MH2005PLC154486	Subsidiary	100
4	IIFL COMMODITIES LIMITED	U51100TN2004PLC077573	Subsidiary	100
5	IIFL SECURITIES SERVICES IFSC +	U65929GJ2018PLC103546	Subsidiary	100
6	IIFL CORPORATE SERVICES LIM +	U93000MH2014PLC260200	Subsidiary	100
7	INDIA INFOLINE FOUNDATION +	U80901MH2014NPL253380	Subsidiary	100
8	IIFL CAPITAL INC.		Subsidiary	100
9	IIFL WEALTH (UK) LIMITED		Subsidiary	100
10	SHREYANS FOUNDATIONS LLP +		Subsidiary	99
11	MEENAKSHI TOWERS LLP		Subsidiary	99.5
12	GISKARD DATATECH PRIVATE I +	U72900KA2016PTC094083	Associate	21.47

IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) *SHARE CAPITAL

(a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	500,000,500	302,935,330	302,935,330	302,935,330
Total amount of equity shares (in Rupees)	1,000,001,000	605,870,660	605,870,660	605,870,660

Number of classes

1

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Equity Shares				
Number of equity shares	500,000,500	302,935,330	302,935,330	302,935,330
Nominal value per share (in rupees)	2	2	2	2
Total amount of equity shares (in rupees)	1,000,001,000	605,870,660	605,870,660	605,870,660

(b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	0	0	0	0
Total amount of preference shares (in rupees)	0	0	0	0

Number of classes

0

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares				
Nominal value per share (in rupees)				
Total amount of preference shares (in rupees)				

(c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

(d) Break-up of paid-up share capital

Class of shares	Number of shares	Total nominal amount	Total Paid-up amount	Total premium
Equity shares				
At the beginning of the year	319,609,462	639,218,924	639,218,924	
Increase during the year	326,262	652,524	652,524	9,514,998.7
i. Public Issues	0	0	0	0
ii. Rights issue	0	0	0	0
iii. Bonus issue	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0
v. ESOPs	326,262	652,524	652,524	9,514,998.7
vi. Sweat equity shares allotted	0	0	0	0
vii. Conversion of Preference share	0	0	0	0
viii. Conversion of Debentures	0	0	0	0
ix. GDRs/ADRs	0	0	0	0
x. Others, specify	0	0	0	

Decrease during the year	17,000,394	34,000,788	34,000,788	1,057,646,328
i. Buy-back of shares	17,000,394	34,000,788	34,000,788	1,057,646,328
ii. Shares forfeited	0	0	0	0
iii. Reduction of share capital	0	0	0	0
iv. Others, specify	0	0	0	
At the end of the year	302,935,330	605,870,660	605,870,660	

Preference shares

At the beginning of the year

Increase during the year

i. Issues of shares

ii. Re-issue of forfeited shares

iii. Others, specify

Decrease during the year

i. Redemption of shares

ii. Shares forfeited

iii. Reduction of share capital

iv. Others, specify

At the end of the year

(ii) Details of stock split/consolidation during the year (for each class of shares)

0

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) *

Nil

[Details being provided in a CD/Digital Media]

Yes No Not Applicable

Separate sheet attached for details of transfers

Yes No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting	<input type="text"/>
---	----------------------

Date of registration of transfer (Date Month Year)	<input type="text"/>
--	----------------------

Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
------------------	----------------------	--

Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
---	----------------------	---	----------------------

Ledger Folio of Transferor	<input type="text"/>
----------------------------	----------------------

Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Ledger Folio of Transferee	<input type="text"/>
----------------------------	----------------------

Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)	<input type="text"/>
--	----------------------

Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
------------------	----------------------	--

Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
---	----------------------	---	----------------------

Ledger Folio of Transferor		<input type="text"/>	
Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name
Ledger Folio of Transferee		<input type="text"/>	
Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

(iv) *Indebtedness including debentures (Outstanding as at the end of financial year)

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0	0	0
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
Secured Loans (including interest outstanding/accrued but not due for payment) excluding deposits			89,000,000
Unsecured Loans (including interest outstanding/accrued but not due for payment) excluding deposits			0
Deposit			0
Total			89,000,000

Details of debentures

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0	0	0	0
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

(v) Securities (other than shares and debentures)

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

V. *Turnover and net worth of the company (as defined in the Companies Act, 2013)

(i) Turnover

6,757,034,398

(ii) Net worth of the Company

6,868,952,400

VI. (a) *SHARE HOLDING PATTERN - Promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	89,423,714	29.52	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	5,719,500	1.89	0	

10.	Others	0	0	0	
	Total	95,143,214	31.41	0	0

Total number of shareholders (promoters)

8

(b) *SHARE HOLDING PATTERN - Public/Other than promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	43,211,536	14.26	0	
	(ii) Non-resident Indian (NRI)	14,559,546	4.81	0	
	(iii) Foreign national (other than NRI)	151,000	0.05	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	1,883	0	0	
6.	Foreign institutional investors	49,177,046	16.23	0	
7.	Mutual funds	1,818,149	0.6	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	87,336,048	28.83	0	
10.	Others (AIF,IEPF,Trusts, Clearing Me +)	11,536,908	3.81	0	
	Total	207,792,116	68.59	0	0

Total number of shareholders (other than promoters)

36,618

**Total number of shareholders (Promoters+Public/
Other than promoters)**

36,626

(c) *Details of Foreign institutional investors' (FIIs) holding shares of the company

44

Name of the FII	Address	Date of Incorporation	Country of Incorporation	Number of shares held	% of shares held
CITY OF LOS ANGELES +	CITIBANK N.A. CUSTODY SERVICES +			37,356	0.01
EMERGING MARKETS V +	DEUTSCHE BANK AG, DB HOUSE H +			178,416	0.06
MORGAN STANLEY AS +	CITIBANK N.A. CUSTODY SERVICES +			11,216	0
DFA AUSTRALIA LIMIT +	CITIBANK N.A. CUSTODY SERVICES +			34,201	0.01
DOVETAIL INDIA FUNI +	DBS BANK LIMITED, GROUND FLOO +			83,107	0.03
EMERGING MARKETS S +	CITIBANK N.A. CUSTODY SERVICES +			5,801	0
EMERGING MARKETS T +	CITIBANK N.A. CUSTODY SERVICES +			14,244	0
WORLD EX U.S. TARGE +	CITIBANK N.A. CUSTODY SERVICES +			13,052	0
ACADIAN EMERGING I +	DEUTSCHE BANK AG, DB HOUSE H +			264,120	0.09
CITY OF NEW YORK GF +	DEUTSCHE BANK AG DB HOUSE, H +			376,363	0.12
EMERGING MARKETS C +	DEUTSCHE BANK AG, DB HOUSE H +			89,174	0.03
SPARROW ASIA DIVER +	STANDARD CHARTERED BANK Sec +			500	0
THE EMERGING MARK +	CITIBANK N.A. CUSTODY SERVICES +			372,301	0.12
JOHN HANCOCK VARI +	CITIBANK N.A. CUSTODY SERVICES +			47,890	0.02
OPSEU PENSION PLAN +	CITIBANK N.A. CUSTODY SERVICES +			125,674	0.04
DOVETAIL INDIA FUNI +	DBS BANK LTD GROUND FLOOR E) +			40,000	0.01
EMERGING MARKETS C +	CITIBANK N.A. CUSTODY SERVICES +			1,078,536	0.36
EMERGING MARKETS S +	CITIBANK N.A. CUSTODY SERVICES +			99,665	0.03
HWIC ASIA FUND CLA +	DEUTSCHE BANK AG DB HOUSE, H +			28,362,530	9.36
QIC INTERNATIONAL E +	CITIBANK N.A. CUSTODY SERVICES +			1,815	0
STICHTING PENSIOENI +	HSBC SECURITIES SERVICES 11TH F +			52,530	0.02
ACADIAN SUSTAINABI +	DEUTSCHE BANK AG, DB HOUSE H +			3,772	0
JOHN HANCOCK FUNI +	CITIBANK N.A. CUSTODY SERVICES +			72,539	0.02
NOMURA SINGAPORE +	HSBC SECURITIES SERVICES 11TH F +			33,700	0.01

Name of the FII	Address	Date of Incorporation	Country of Incorporation	Number of shares held	% of shares held
PREMIER INVESTMENT	C/O KOTAK MAHINDRA BANK LIM			42,032	0.01

**VII. *NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS
(Details, Promoters, Members (other than promoters), Debenture holders)**

Details	At the beginning of the year	At the end of the year
Promoters	8	8
Members (other than promoters)	42,244	36,618
Debenture holders	0	0

VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

(A) *Composition of Board of Directors

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
A. Promoter	1	0	1	0	3.69	0
B. Non-Promoter	2	3	1	4	0.02	0
(i) Non-Independent	2	0	1	0	0.02	0
(ii) Independent	0	3	0	4	0	0
C. Nominee Directors representing	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
Total	3	3	2	4	3.71	0

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

8

(B) (i) *Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
VENKATARAMAN RAJ.	00011919	Managing Director	11,184,432	
NARENDRA DESHMAL	01984467	Whole-time director	67,500	
REKHA GOPAL WARR	08152356	Director	0	
SHAMIK DAS SHARMA	07779526	Director	0	
ANAND SHAILESH BA	03084831	Director	0	
VISWANATHAN KRISH	09026252	Additional director	0	
RONAK DHIRAJLAL GA	APUPG7416D	CFO	2,000	
MEGHAL ABHISHEK S	ACGPY2668A	Company Secretar	0	

(ii) Particulars of change in director(s) and Key managerial personnel during the year

8

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
KRANTI SINHA	00001643	Director	27/07/2020	Cessation
ANAND SHAILESH BA	03084831	Additional director	22/09/2020	Appointment
ROSHAN BHANUPRAK	ATMPD1701G	Company Secretar	20/11/2020	Cessation
MEGHAL ABHISHEK S	ACGPY2668A	Company Secretar	20/11/2020	Appointment
ARINDAM CHANDA	AEGPC5082G	CEO	22/12/2020	Cessation
ANAND SHAILESH BA	03084831	Director	22/12/2020	Appointment as Independent Director
MOHAN RADHAKRISH	00012070	Whole-time director	02/01/2021	Cessation
VISWANATHAN KRISH	09026252	Additional director	21/01/2021	Appointment

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

Number of meetings held

1

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
Annual General Meeting	30/06/2020	33,478	100	33.71

B. BOARD MEETINGS

*Number of meetings held

8

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	15/05/2020	6	6	100
2	18/07/2020	6	6	100
3	15/09/2020	5	5	100
4	16/10/2020	6	6	100
5	20/11/2020	6	6	100
6	21/01/2021	5	5	100
7	12/02/2021	6	6	100
8	10/03/2021	6	5	83.33

C. COMMITTEE MEETINGS

Number of meetings held

17

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	Audit Committee	15/05/2020	4	4	100
2	Audit Committee	18/07/2020	4	4	100
3	Audit Committee	16/10/2020	3	3	100
4	Audit Committee	21/01/2021	4	4	100
5	Nomination and Remuneration	15/05/2020	3	3	100
6	Nomination and Remuneration	20/11/2020	3	3	100
7	Nomination and Remuneration	07/01/2021	3	3	100
8	Stakeholders Forum	01/03/2021	3	2	66.67

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
9	Risk Management	16/10/2020	6	6	100
10	Risk Management	25/03/2021	4	4	100

D. *ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	
1	VENKATARAMAN	8	8	100	6	5	83.33	
2	NARENDRA DESH	8	8	100	14	13	92.86	
3	REKHA GOPAL	8	7	87.5	9	9	100	
4	SHAMIK DAS	8	8	100	10	10	100	
5	ANAND SHAIL	5	5	100	6	6	100	
6	VISWANATHAN	2	2	100	2	2	100	

X. *REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

3

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/Sweat equity	Others	Total Amount
1	VENKATARAMAN	Managing Director	35,303,744	0	0	360,300	35,664,044
2	NARENDRA DESH	Whole-time director	6,343,679	0	255,521	39,600	6,638,800
3	MOHAN RADHAKR	Whole-time director	10,870,152	0	5,023,920	366,975	16,261,047
	Total		52,517,575	0	5,279,441	766,875	58,563,891

Number of CEO, CFO and Company secretary whose remuneration details to be entered

4

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/Sweat equity	Others	Total Amount
1	RONAK DHIRAJLA	CFO	3,213,732	0	0	0	3,213,732

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
2	MEGHAL ABHISHE +	CS	524,859	0	0	20,790	545,649
3	ROSHAN BHANUP +	CS	1,443,780	0	0	57,751	1,501,531
4	ARINDAM CHAND/ +	CEO	7,609,096	0	1,135,319	0	8,744,415
	Total		12,791,467	0	1,135,319	78,541	14,005,327

Number of other directors whose remuneration details to be entered

5

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	KRANTI SINHA	Independent Dir +	0	1,000,000	0	135,000	1,135,000
2	REKHA GOPAL W/ +	Independent Dir +	0	916,667	0	435,000	1,351,667
3	SHAMIK DAS SHAI +	Independent Dir +	0	250,000	0	480,000	730,000
4	ANAND SHAILESH +	Independent Dir +	0	0	0	315,000	315,000
5	VISWANATHAN KF +	Additional direct +	0	0	0	120,000	120,000
	Total		0	2,166,667	0	1,485,000	3,651,667

XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year Yes No

B. If No, give reasons/observations

XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

Yes No

(In case of 'No', submit the details separately through the method specified in instruction kit)

XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

Nilesh G Shah

Whether associate or fellow

Associate Fellow

Certificate of practice number

2631

I/We certify that:

(a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.

(b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

Declaration

I am Authorised by the Board of Directors of the company vide resolution no. ... dated

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
2. All the required attachments have been completely and legibly attached to this form.

Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.

To be digitally signed by

Director

DIN of the director

01984467

To be digitally signed by

Company Secretary

Company secretary in practice

Membership number

Certificate of practice number

Attachments

- 1. List of share holders, debenture holders
- 2. Approval letter for extension of AGM;
- 3. Copy of MGT-8;
- 4. Optional Attachment(s), if any

Attach
Attach
Attach
Attach

List of attachments

--

Remove attachment

Modify

Check Form

Prescrutiny

Submit

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company

Please note the following additional details in respect of Annual Return for Financial Year 2020-21:

1. Point no. III of Annual Return:

Shreyans Foundations LLP is the step down subsidiary of the Company.

2. Details of FIIs/FPIs holding shares of the company

VI. (c) *Details of Foreign institutional investors' (FIIs) holding shares of the company					
Name Of FII/FPI	Address	Date Of Incorporation	Country Of Incorporation	No. of Share	% of share holding
CITY OF LOS ANGELES FIRE AND POLICE PENSION PLAN	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI			37356	0.01
EMERGING MARKETS VALUE FUND OF DIMENSIONAL FUNDS PLC	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI			178416	0.06
MORGAN STANLEY ASIA (SINGAPORE) PTE.	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI			11216	0.00
DFA AUSTRALIA LIMITED AS RESPONSIBLE ENTITY FOR DIMENSIONAL EMERGING MARKETS TRUST	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI			34201	0.01
DOVETAIL INDIA FUND CLASS 8 SHARES	DBS BANK LIMITED, GROUND FLOOR EXPRESS TOWERS, BLOCK III BACKBAY RECLAMATION NARIMAN POINT, MUMBAI			83107	0.03
EMERGING MARKETS SUSTAINABILITY CORE 1 PORTFOLIO	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI			5801	0.00
EMERGING MARKETS TARGETED VALUE PORTFOLIO	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI			14244	0.00
WORLD EX U.S. TARGETED VALUE PORTFOLIO OF DFA INVESTMENT DIMENSIONS GROUP INC.	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI			13052	0.00
ACADIAN EMERGING MARKETS SMALL CAP EQUITY FUND LLC	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI			264120	0.09
CITY OF NEW YORK GROUP TRUST	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI			376363	0.12
EMERGING MARKETS CORE EQUITY FUND OF DIMENSIONAL FUNDS ICVC	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI			89174	0.03
SPARROW ASIA DIVERSIFIED OPPORTUNITIES FUND	STANDARD CHARTERED BANK Securities Services, 3rd Floor 23-25, MAHATMA GANDHI ROAD FORT, MUMBAI			500	0.00
THE EMERGING MARKETS SMALL CAP SERIES OF THE DFA INVESTMENT TRUST COMPANY	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI			372301	0.12
JOHN HANCOCK VARIABLE INSURANCE TRUST EMERGING MARKETS VALUE TRUST	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI			47890	0.02
OPSEU PENSION PLAN TRUST FUND	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI			125674	0.04
DOVETAIL INDIA FUND CLASS 5 SHARES	DBS BANK LTD GROUND FLOOR EXPRESS TOWERS BLOCK III BACKBAY RECLAMATION NARIMAN POINT MUMBAI			40000	0.01
EMERGING MARKETS CORE EQUITY PORTFOLIO (THE PORTFOLIO) OF DFA INVESTMENT DIMENSIONS GROUP INC. (DFAIDG)	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI			1078536	0.36
EMERGING MARKETS SOCIAL CORE EQUITY PORTFOLIO OF DFA INVESTMENT DIMENSIONS GROUP INC.	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI			99665	0.03
HWIC ASIA FUND CLASS A SHARES	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI			28362530	9.36
QIC INTERNATIONAL EQUITIES FUND	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI			1815	0.00
STICHTING PENSIOENFONDS RAIL & OPENBAAR VERVOER	HSBC SECURITIES SERVICES 11TH FLR, BLDG NO.3, NESCO - IT PARK NESCO COMPLEX, W E HIGHWAY GOREGAON EAST, MUMBAI			52530	0.02

ACADIAN SUSTAINABLE EMERGING MARKETS EQUITY EX-FOSSIL FUEL FUND, LLC	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI			3772	0.00
JOHN HANCOCK FUNDS II EMERGING MARKETS FUND	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI			72539	0.02
NOMURA SINGAPORE LIMITED ODI	HSBC SECURITIES SERVICES 11TH FLR, BLDG NO.3, NESCO - IT PARK NESCO COMPLEX, W E HIGHWAY GOREGAON EAST, MUMBAI			33700	0.01
PREMIER INVESTMENT FUND LIMITED	C/O KOTAK MAHINDRA BANK LIMITED KOTAK INFINITI, BLDG.NO.21, 6TH FLR ZONE IV,CUSTODY SERVS, INFINITY PARK GEN. AK VAIDYA MARG, MALAD E, MUMBAI			42032	0.01
QUEENSLAND INVESTMENT TRUST NO 2	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI			11919	0.00
SA EMERGING MARKETS VALUE FUND	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI			25376	0.01
SPDR S AND P EMERGING MARKETS ETF	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI			56532	0.02
T.A. WORLD EX U.S. CORE EQUITY PORTFOLIO OF DFA INVESTMENT DIMENSIONS GROUP INC.	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI			67491	0.02
ALASKA PERMANENT FUND	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI			91289	0.03
DIMENSIONAL EMERGING MARKETS VALUE FUND	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI			2626942	0.87
HABROK INDIA MASTER LP	KOTAK MAHINDRA BANK LIMITED KOTAK INFINITI, BLDG.NO.21, 2ND FLR ZONE I ,CUSTODY SERVS,INFINITY PARK GEN. AK VAIDYA MARG, MALAD E, MUMBAI			1676309	0.55
LOS ANGELES CITY EMPLOYEES RETIREMENT SYSTEM	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI			45483	0.02
PACIFIC SELECT FUND - PD EMERGING MARKETS PORTFOLIO	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI			6089	0.00
POLUS GLOBAL FUND	ICICI BANK LTD SMS DEPT 1ST FLOOR EMPIRE COMPLEX 414 S B MARG LOWER PAREL MUMBAI			73890	0.02
THE EMERGING MARKETS SERIES OF THE DFA INVESTMENT TRUST COMPANY	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI			120008	0.04
WORLD EX U.S. CORE EQUITY PORTFOLIO OF DFA INVESTMENT DIMENSIONS GROUP INC.	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI			28342	0.01
BANK MUSCAT INDIA FUND	HSBC SECURITIES SERVICES 11TH FLR, BLDG NO.3, NESCO - IT PARK NESCO COMPLEX, W E HIGHWAY GOREGAON EAST, MUMBAI			12598222	4.16
DFA INTERNATIONAL CORE EQUITY FUND	STANDARD CHARTERED BANK SECURITIES SERVICES, 3RD FLOOR 23-25 M.G. ROAD FORT, MUMBAI			12997	0.00
DFA INTERNATIONAL VECTOR EQUITY FUND	STANDARD CHARTERED BANK SECURITIES SERVICES, 3RD FLOOR 23-25 M.G. ROAD FORT, MUMBAI			10610	0.00
DIMENSIONAL FUNDS PLC - MULTI-FACTOR EQUITY FUND	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI			8966	0.00
EMERGING MARKETS TARGETED VALUE FUND OF THE DIMENSIONAL FUNDS II PUBLIC LIMITED COMPANY	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI			70554	0.02
MGI EMERGING MARKETS EQUITY FUND-DIMENSIONAL FUND ADVISORS LTD.	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI			7174	0.00
PENSION RESERVES INVESTMENT TRUST FUND - ACADIAN	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI			198319	0.07

3. Point no. IX (A) of Annual Return

During the year members of the Company passed resolutions pursuant to the postal ballot notice dated November 20, 2020 and the results of which were announced on December 22, 2020.

4. Committee Meetings held during the year as per table below:

IX. (C) - List of committee meetings					
During the financial year 2020-21, the following committee meetings were held					
Sr. No	Type of Meeting	Date of Meeting	Total No of members as on the dt. of the meeting	Attendance	
				Number of members attended	% of attendance
1.	Audit Committee	15/05/2020	4	4	100
2.	Audit Committee	18/07/2020	4	4	100
3.	Audit Committee	16/10/2020	3	3	100
4.	Audit Committee	21/01/2021	4	4	100
5.	Nomination and Remuneration Committee	15/05/2020	3	3	100
6.	Nomination and Remuneration Committee	20/11/2020	3	3	100
7.	Nomination and Remuneration Committee	07/01/2021	3	3	100
8.	Stakeholders Relationship Committee	01/03/2021	3	2	66.67
9.	Risk Management Committee	16/10/2020	6	6	100
10.	Risk Management Committee	25/03/2021	4	4	100
11.	CSR Committee	24/03/2021	3	3	100
12.	Finance Committee	27/11/2020	5	5	100
13.	Finance Committee	16/12/2020	5	5	100
14.	Finance Committee	01/03/2021	3	3	100
15.	Buyback Committee*	11/12/2020	3	3	100
16.	Buyback Committee*	23/12/2020	3	2	66.67
17.	Buyback Committee*	15/02/2021	3	3	100

Note:

*Ad-hoc committee

IIFL Securities Limited

Corporate Identity Number: L99999MH1996PLC132983

Regd. Office: IIFL House, Sun Infotech Park, Road No. 16V, Plot No. B-23, MIDC, Thane Industrial Area, Wagle Estate, Thane - 400 604
Tel: (91-22) 3929 4000/ 4103 5000 • Fax: (91-22) 2580 6654 • E-mail: secretarial@iifl.com • Website: www.iiflsecurities.com